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METHOD AND APPARATUS FOR REPORTING UNAUTHORIZED ATTEMPTS TO ACCESS NODES IN A NETWORK COMPUTING SYSTEM

CROSS REFERENCES TO RELATED APPLICATIONS

The present invention is related to applications entitled A System Area Network of End-to-End Context via Reliable Datagram Domains, serial no. _____, attorney docket no. AUS9-2000-0625-US1; Method and Apparatus for Pausing a Send Queue without Causing Sympathy Errors, serial no. _____, attorney docket no. AUS9-2000-0626-US1; Method and Apparatus to Perform Fabric Management, serial no. _____, attorney docket no. AUS9-2000-0627-US1; End Node Partitioning using LMC for a System Area Network, serial no. _____, attorney docket no. AUS9-2000-0628-US1; Method and Apparatus for Dynamic Retention of System Area Network Management Information in Non-Volatile Store, serial no. _____, attorney docket no. AUS9-2000-0629-US1; Method and Apparatus for Retaining Network Security Settings Across Power Cycles, serial no. _____, attorney docket no. AUS9-2000-0630-US1; Method and Apparatus for Reliably Choosing a Master Network Manager During Initialization of a Network Computing System, serial no. _____, attorney docket no. AUS9-2000-0632-US1; Method and Apparatus for Ensuring Scalable Mastership During Initialization of a System Area Network, serial no. _____, attorney docket no. AUS9-2000-0633-US1; and Method and Apparatus for Using a Service ID for the Equivalent of Port ID in a Network Computing System,

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serial no. _____, attorney docket no.
AUS9-2000-0634-US1, all of which are filed even date
hereof, assigned to the same assignee, and incorporated
herein by reference.

BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention relates generally to an
improved network computing system, and in particular to a
method and apparatus for managing a network computing
system. Still more particularly, the present invention
provides a method and apparatus for handling unauthorized
attempts to access nodes within a network computing
system.

2. Description of Related Art:

In a System Area Network (SAN), the hardware
provides a message passing mechanism which can be used
for Input/Output devices (I/O) and interprocess
communications between general computing nodes (IPC).
Processes executing on devices access SAN message passing
hardware by posting send/receive messages to send/receive
work queues on a SAN channel adapter (CA). These
processes also are referred to as "consumers". The
send/receive work queues (WQ) are assigned to a consumer
as a queue pair (QP). The messages can be sent over five
different transport types: Reliable Connected (RC),
Reliable datagram (RD), Unreliable Connected (UC),
Unreliable Datagram (UD), and Raw Datagram (RawD).
Consumers retrieve the results of these messages from a

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completion queue (CQ) through SAN send and receive work
completions (WC). The source channel adapter takes care
of segmenting outbound messages and sending them to the
destination. The destination channel adapter takes care
of reassembling inbound messages and placing them in the
memory space designated by the destination's consumer.
Two channel adapter types are present, a host channel
adapter (HCA) and a target channel adapter (TCA). The
host channel adapter is used by general purpose computing
nodes to access the SAN fabric. Consumers use SAN verbs
to access host channel adapter functions. The software
that interprets verbs and directly accesses the channel
adapter is known as the channel interface (CI).

A SAN network provides an ability to partition the
use of various components within the network. Some
devices may be private to certain nodes, while others are
shared between many nodes within the network. In some
cases, a node may try to access other nodes without
authorization. In other cases, the access may be a
malicious attempt by a node to access nodes within the
network outside the domain of access for that given node.
It would be advantageous to have an improved method and
apparatus for handling unauthorized attempts to access a
node.

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SUMMARY OF THE INVENTION

The present invention provides a method in a node for managing authorized attempts to access the node. A packet is received from a source, wherein the packet includes a first key. A determination is made as to whether the first key matches a second key for the node. The packet is dropped without a response to the source if the first key does not match the second key. Information from the packet is stored in response to this absence of a match. The information is sent to a selected recipient in response to a selected event, which may be, for example, either immediately or in response to polling to see if the information is present.

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BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 is a diagram of a network computing system is illustrated in accordance with a preferred embodiment of the present invention;

Figure 2 is a functional block diagram of a host processor node in accordance with a preferred embodiment of the present invention;

Figure 3 is a diagram of a host channel adapter in accordance with a preferred embodiment of the present invention;

Figure 4 is a diagram illustrating processing of work requests in accordance with a preferred embodiment of the present invention;

Figure 5 is an illustration of a data packet in accordance with a preferred embodiment of the present invention;

Figure 6 is a diagram illustrating a system area network (SAN) management model in accordance with a preferred embodiment of the present invention;

Figure 7 is a flowchart of a process used for setting partitions in a node in accordance with a preferred embodiment of the present invention; and

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Figure 8 is a flowchart of a process used for detecting and reporting unauthorized attempts to access a node in accordance with a preferred embodiment of the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides a network computing system having end nodes, switches, routers, and links interconnecting these components. Each end node uses send and receive queue pairs to transmit and receives messages. The end nodes segment the message into packets and transmit the packets over the links. The switches and routers interconnects the end nodes and route the packets to the appropriate end node. The end nodes reassemble the packets into a message at the destination.

With reference now to the figures and in particular with reference to **Figure 1**, a diagram of a network computing system is illustrated in accordance with a preferred embodiment of the present invention. The network computing system represented in **Figure 1** takes the form of a system area network (SAN) **100** and is provided merely for illustrative purposes, and the embodiments of the present invention described below can be implemented on computer systems of numerous other types and configurations. For example, computer systems implementing the present invention can range from a small server with one processor and a few input/output (I/O) adapters to massively parallel supercomputer systems with hundreds or thousands of processors and thousands of I/O adapters. Furthermore, the present invention can be implemented in an infrastructure of remote computer systems connected by an internet or intranet.

SAN **100** is a high-bandwidth, low-latency network interconnecting nodes within the network computing system. A node is any component attached to one or more

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links of a network.   In the depicted example, SAN **100**
includes nodes in the form of host processor node **102**,
host processor node **104**, redundant array independent disk
(RAID) subsystem node **106**, switch node 112, switch node
114, router node 117, and I/O chassis node **108**.   The
nodes illustrated in **Figure 1** are for illustrative
purposes only, as SAN **100** can connect any number and any
type of independent processor nodes, and I/O adapter
nodes.   Any one of the nodes can function as an end node,
which is herein defined to be a device that originates or
finally consumes messages or frames in SAN **100**.

In one embodiment of the present invention, an error
handling mechanism in distributed computer systems is
present in which the error handling mechanism allows for
reliable connection or reliable datagram communication
between end nodes in network computing system, such as
SAN **100**.

A message, as used herein, is an application-defined
unit of data exchange, which is a primitive unit of
communication between cooperating processes.   A packet is
one unit of data encapsulated by a networking protocol
headers and/or trailer.   The headers generally provide
control and routing information for directing the frame
through SAN.   The trailer generally contains control and
cyclic redundancy check (CRC) data for ensuring packets
are not delivered with corrupted contents.

SAN **100** contains the communications and management
infrastructure supporting both I/O and interprocessor
communications (IPC) within a network computing system.
The SAN **100** shown in **Figure 1** includes a switched
communications fabric, which allows many devices to

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concurrently transfer data with high-bandwidth and low latency in a secure, remotely managed environment. End nodes can communicate over multiple ports and utilize multiple paths through the SAN fabric. The multiple ports and paths through the SAN shown in **Figure 1** can be employed for fault tolerance and increased bandwidth data transfers.

The SAN **100** in **Figure 1** includes switch **112**, switch **114**, switch **146**, and router **117**. A switch is a device that connects multiple links together and allows routing of packets from one link to another link within a subnet using a small header Destination Local Identifier (DLID) field. A router is a device that connects multiple subnets together and is capable of routing frames from one link in a first subnet to another link in a second subnet using a large header Destination Globally Unique Identifier (DGUID).

In one embodiment, a link is a full duplex channel between any two network fabric elements, such as end nodes, switches, or routers. Example suitable links include, but are not limited to, copper cables, optical cables, and printed circuit copper traces on backplanes and printed circuit boards.

For reliable service types, end nodes, such as host processor end nodes and I/O adapter end nodes, generate request packets and return acknowledgment packets. Switches and routers pass packets along, from the source to the destination. Except for the variant CRC trailer field which is updated at each stage in the network, switches pass the packets along unmodified. Routers update the variant CRC trailer field and modify other

fields in the header as the packet is routed.

In SAN **100** as illustrated in **Figure 1**, host processor node **102**, host processor node **104**, RAID I/O subsystem 106, and I/O chassis **108** include at least one channel adapter (CA) to interface to SAN **100**. In one embodiment, each channel adapter is an endpoint that implements the channel adapter interface in sufficient detail to source or sink packets transmitted on SAN fabric **100**. Host processor node **102** contains channel adapters in the form of host channel adapter **118** and host channel adapter **120**. Host processor node **104** contains host channel adapter **122** and host channel adapter **124**. Host processor node **102** also includes central processing units **126-130** and a memory **132** interconnected by bus system **134**. Host processor node **104** similarly includes central processing units **136-140** and a memory **142** interconnected by a bus system **144**.

Host channel adapters **118** and **120** provide a connection to switch **112** while host channel adapters **122** and **124** provide a connection to switches **112** and **114**.

In one embodiment, a host channel adapter is implemented in hardware. In this implementation, the host channel adapter hardware offloads much of central processing unit and I/O adapter communication overhead. This hardware implementation of the host channel adapter also permits multiple concurrent communications over a switched network without the traditional overhead associated with communicating protocols. In one embodiment, the host channel adapters and SAN **100** in **Figure 1** provide the I/O and interprocessor

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communications (IPC) consumers of the network computing system with zero processor-copy data transfers without involving the operating system kernel process, and employs hardware to provide reliable, fault tolerant communications.

As indicated in **Figure 1**, router **117** is coupled to wide area network (WAN) and/or local area network (LAN) connections to other hosts or other routers.

The I/O chassis **108** in **Figure 1** includes a switch **146** and multiple I/O modules **148-156**. In these examples, the I/O modules take the form of adapter cards. Example adapter cards illustrated in **Figure 1** include a SCSI adapter card for I/O module **148**; an adapter card to fiber channel hub and fiber channel-arbitrated loop (FC-AL) devices for I/O module **152**; an ethernet adapter card for I/O module **150**; a graphics adapter card for I/O module **154**; and a video adapter card for I/O module **156**. Any known type of adapter card can be implemented. I/O adapters also include a switch in the I/O adapter backplane to couple the adapter cards to the SAN fabric. These modules contain target channel adapters **158-166**.

In this example, RAID subsystem node **106** in **Figure 1** includes a processor **168**, a memory **170**, a target channel adapter (TCA) **172**, and multiple redundant and/or striped storage disk unit **174**. Target channel adapter **172** can be a fully functional host channel adapter.

SAN **100** handles data communications for I/O and interprocessor communications. SAN **100** supports high-bandwidth and scalability required for I/O and also supports the extremely low latency and low CPU overhead

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required for interprocessor communications. User clients can bypass the operating system kernel process and directly access network communication hardware, such as host channel adapters, which enable efficient message passing protocols. SAN **100** is suited to current computing models and is a building block for new forms of I/O and computer cluster communication. Further, SAN **100** in **Figure 1** allows I/O adapter nodes to communicate among themselves or communicate with any or all of the processor nodes in network computing system. With an I/O adapter attached to the SAN **100**, the resulting I/O adapter node has substantially the same communication capability as any host processor node in SAN **100**.

Turning next to **Figure 2**, a functional block diagram of a host processor node is depicted in accordance with a preferred embodiment of the present invention. Host processor node **200** is an example of a host processor node, such as host processor node **102** in **Figure 1**. In this example, host processor node **200**, shown in **Figure 2**, includes a set of consumers **202-208**, which are processes executing on host processor node **200**. Host processor node **200** also includes channel adapter **210** and channel adapter **212**. Channel adapter **210** contains ports **214** and **216** while channel adapter **212** contains ports **218** and **220**. Each port connects to a link. The ports can connect to one SAN subnet or multiple SAN subnets, such as SAN **100** in **Figure 1**. In these examples, the channel adapters take the form of host channel adapters.

Consumers **202-208** transfer messages to the SAN via the verbs interface **222** and message and data service **224**.

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A verbs interface is essentially an abstract description of the functionality of a host channel adapter. An operating system may expose some or all of the verb functionality through its programming interface. Basically, this interface defines the behavior of the host. Additionally, host processor node **200** includes a message and data service **224**, which is a higher level interface than the verb layer and is used to process messages and data received through channel adapter **210** and channel adapter **212**.

With reference now to **Figure 3**, a diagram of a host channel adapter is depicted in accordance with a preferred embodiment of the present invention. Host channel adapter **300** shown in **Figure 3** includes a set of queue pairs (QPs) **302-310**, which are used to transfer messages to the host channel adapter ports **312-316**. Buffering of data to host channel adapter ports **312-316** is channeled through virtual lanes (VL) **318-334** where each VL has its own flow control. Subnet manager configures channel adapters with the local addresses for each physical port, i.e., the port's LID.
Subnet manager agent (SMA) **336** is the entity that communicates with the subnet manager for the purpose of configuring the channel adapter. Memory translation and protection (MTP) **338** is a mechanism that translates virtual addresses to physical addresses and to validate access rights. Direct memory access (DMA) **340** provides for direct memory access operations using memory **340** with respect to queue pairs **302-310**.

A single channel adapter, such as the host channel

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adapter **300** shown in **Figure 3**, can support thousands of queue pairs. By contrast, a target channel adapter in an I/O adapter typically supports a much smaller number of queue pairs.

Each queue pair consists of a send work queue (SWQ) and a receive work queue. The send work queue is used to send channel and memory semantic messages. The receive work queue receives channel semantic messages. A consumer calls an operating-system specific programming interface, which is herein referred to as verbs, to place work requests (WRs) onto a work queue.

With reference now to **Figure 4**, a diagram illustrating processing of work requests is depicted in accordance with a preferred embodiment of the present invention. In **Figure 4**, a receive work queue **400**, send work queue **402**, and completion queue **404** are present for processing requests from and for consumer **406**. These requests from consumer **402** are eventually sent to hardware **408**. In this example, consumer **406** generates work requests **410** and **412** and receives work completion **414**. As shown in **Figure 4**, work requests placed onto a work queue are referred to as work queue elements (WQEs).

Send work queue **402** contains work queue elements (WQEs) **422-428**, describing data to be transmitted on the SAN fabric. Receive work queue **400** contains work queue elements (WQEs) **416-420**, describing where to place incoming channel semantic data from the SAN fabric. A work queue element is processed by hardware **408** in the host channel adapter.

The verbs also provide a mechanism for retrieving

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completed work from completion queue **404**. As shown in **Figure 4**, completion queue **404** contains completion queue elements (CQEs) **430-436**. Completion queue elements contain information about previously completed work queue elements. Completion queue **404** is used to create a single point of completion notification for multiple queue pairs. A completion queue element is a data structure on a completion queue. This element describes a completed work queue element. The completion queue element contains sufficient information to determine the queue pair and specific work queue element that completed. A completion queue context is a block of information that contains pointers to, length, and other information needed to manage the individual completion queues.

Example work requests supported for the send work queue **402** shown in **Figure 4** are as follows. A send work request is a channel semantic operation to push a set of local data segments to the data segments referenced by a remote node's receive work queue element. For example, work queue element **428** contains references to data segment 4 **438**, data segment 5 **440**, and data segment 6 **442**. Each of the send work request's data segments contains a virtually contiguous memory region. The virtual addresses used to reference the local data segments are in the address context of the process that created the local queue pair.

A remote direct memory access (RDMA) read work request provides a memory semantic operation to read a virtually contiguous memory space on a remote node. A memory space can either be a portion of a memory region or portion of a memory window. A memory region

references a previously registered set of virtually contiguous memory addresses defined by a virtual address and length. A memory window references a set of virtually contiguous memory addresses which have been bound to a previously registered region.

The RDMA Read work request reads a virtually contiguous memory space on a remote end node and writes the data to a virtually contiguous local memory space. Similar to the send work request, virtual addresses used by the RDMA Read work queue element to reference the local data segments are in the address context of the process that created the local queue pair. For example, work queue element **416** in receive work queue **400** references data segment 1 **444**, data segment 2 **446**, and data segment **448**. The remote virtual addresses are in the address context of the process owning the remote queue pair targeted by the RDMA Read work queue element.

A RDMA Write work queue element provides a memory semantic operation to write a virtually contiguous memory space on a remote node. The RDMA Write work queue element contains a scatter list of local virtually contiguous memory spaces and the virtual address of the remote memory space into which the local memory spaces are written.

A RDMA FetchOp work queue element provides a memory semantic operation to perform an atomic operation on a remote word. The RDMA FetchOp work queue element is a combined RDMA Read, Modify, and RDMA Write operation. The RDMA FetchOp work queue element can support several read-modify-write operations, such as Compare and Swap if equal.

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A bind (unbind) remote access key (R_Key) work queue element provides a command to the host channel adapter hardware to modify (destroy) a memory window by associating (disassociating) the memory window to a memory region. The R_Key is part of each RDMA access and is used to validate that the remote process has permitted access to the buffer.

In one embodiment, receive work queue **400** shown in **Figure 4** only supports one type of work queue element, which is referred to as a receive work queue element. The receive work queue element provides a channel semantic operation describing a local memory space into which incoming send messages are written. The receive work queue element includes a scatter list describing several virtually contiguous memory spaces. An incoming send message is written to these memory spaces. The virtual addresses are in the address context of the process that created the local queue pair.

For interprocessor communications, a user-mode software process transfers data through queue pairs directly from where the buffer resides in memory. In one embodiment, the transfer through the queue pairs bypasses the operating system and consumes few host instruction cycles. Queue pairs permit zero processor-copy data transfer with no operating system kernel involvement. The zero processor-copy data transfer provides for efficient support of high-bandwidth and low-latency communication.

When a queue pair is created, the queue pair is set to provide a selected type of transport service. In one embodiment, a network computing system implementing the

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present invention supports four types of transport services.

Reliable and unreliable connected services associate a local queue pair with one and only one remote queue pair. Connected services require a process to create a queue pair for each process which is to communicate with over the SAN fabric. Thus, if each of N host processor nodes contain P processes, and all P processes on each node wish to communicate with all the processes on all the other nodes, each host processor node requires P^2 x (N - 1) queue pairs. Moreover, a process can connect a queue pair to another queue pair on the same host channel adapter.

Reliable datagram service associates a local end-end (EE) context with one and only one remote end-end context. The reliable datagram service permits a client process of one queue pair to communicate with any other queue pair on any other remote node. At a receive work queue, the reliable datagram service permits incoming messages from any send work queue on any other remote node. The reliable datagram service greatly improves scalability because the reliable datagram service is connectionless. Therefore, an end node with a fixed number of queue pairs can communicate with far more processes and end nodes with a reliable datagram service than with a reliable connection transport service. For example, if each of N host processor nodes contain P processes, and all P processes on each node wish to communicate with all the processes on all the other nodes, the reliable connection service requires P^2 x (N - 1) queue pairs on each node. By comparison, the

connectionless reliable datagram service only requires P queue pairs + (N -1) EE contexts on each node for exactly the same communications.

The unreliable datagram service is connectionless. The unreliable datagram service is employed by management applications to discover and integrate new switches, routers, and end nodes into a given network computing system. The unreliable datagram service does not provide the reliability guarantees of the reliable connection service and the reliable datagram service. The unreliable datagram service accordingly operates with less state information maintained at each end node.

SAN architecture management facilities provide for a subnet manager (SM) and an infrastructure that supports a number of general management services. The management infrastructure requires a subnet management agent (SMA) in each node and defines a general service interface that allows additional general services agents.

The SAN architecture defines a common management datagram (MAD) message structure for communicating between managers and management agents. The subnet manager is an entity attached to a subnet that is responsible for configuring and managing switches, routers, and channel adapters. The subnet manager can be implemented with other devices, such as a channel adapter or a switch.

Turning next to **Figure 5**, an illustration of a data packet is depicted in accordance with a preferred embodiment of the present invention.

In data packet **500**, message data **502** contains data segment 1 **504**, data segment 2 **506**, and data segment 3

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508, which are similar to the data segments illustrated in **Figure 4**. In this example, these data segments form a packet **510**, which is placed into packet payload **512** within data packet **500**. In these examples, the message segments used for subnet management contain the M_Key. The M_Key is used by the end node which is receiving the packet to determine if the subnet manager sending the packet has access to the subnet manager agent in the node. Additionally, data packet **500** contains CRC **514**, which is used for error checking. Additionally, routing header **516** and transport **518** are present in data packet **500**. Routing header **516** is used to identify source and destination ports for data packet **500**. Transport header **518** in this example specifies the destination queue pair for data packet **500**.

Additionally, transport header **518** also provides information such as the operation code, packet sequence number, and partition for data packet **500**. The operating code identifies whether the packet is the first, last, intermediate, or only packet of a message. The operation code also specifies whether the operation is a send RDMA write, read, or atomic. The packet sequence number is initialized when communications is established and increments each time a queue pair creates a new packet. Ports of an end node may be configured to be members of one or more possibly overlapping sets called partitions.

SAN architecture management facilities provide for a subnet manager and an infrastructure that supports a number of general management services. The management infrastructure requires a subnet management agent in each node and defines a general service interface that allows

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additional general services agents. The SAN architecture
includes a common management datagram (MAD) message
structure for communicating between managers and
management agents.

The subnet manager is an entity attached to a subnet
that is responsible for configuring and managing
switches, routers, and channel adapters. The subnet
manager can be implemented with other devices, such as a
channel adapter or a switch. The master subnet manager:
(1) discovers the subnet topology; (2) configures each
channel adapter port with a range of Local Identification
(LID) numbers, Global Identification (GID) number, subnet
prefix, and Partition Keys (P_Keys); (3) configures each
switch with a LID, the subnet prefix, and with its
forwarding database; and (4) maintains the end node and
service databases for the subnet and thus provides a
Global Unique Identification (GUID) number to LID/GID
resolution service as well as a services directory.

Each node provides a subnet manager agent that the
subnet manager accesses through a well known interface
called the subnet management interface (SMI). Subnet
manager interface allows for both LID routed packets and
directed routed packets. Directed routing provides the
means to communicate before switches and end nodes are
configured.

SAN subnet management packets (SMPs) use an
management key (M_Key) as an access control mechanism.
When the subnet manager takes management control of a
node, the subnet manager pushes its M_Key, along with a
M_Key lease period, into each SAN component. The SAN
component uses this M_Key to validate all future subnet

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management packets it receives within the M_Key lease period. Subsequent subnet management packets (SMPs) have their M_Key field compared with the value previously stored by subnet manager in the SAN component. If a M_Key field in a subnet management packet matches the M_Key value stored in the SAN component, the packet is accepted. Otherwise the packet is discarded.

The SAN architecture supports the notion of multiple subnet managers per subnet and specifies how multiple subnet managers negotiate for one to become the master subnet manager. Once a subnet manager gains control of a subnet, it can retain control as long as it does not lose its M_Key in the components of the subnet. Loss of the M_Key can happen under several circumstances. This loss can happen through a power cycle of the component which contains the M_Key, with the component coming up with the default M_Key when the power to the component is restored. This loss also may happen through a boot of the node which contains the subnet manager, such that the subnet manager goes away and the M_Key lease period expires in the component, in which case another subnet manager can take over control of the component.

The SAN architecture, in these examples, also supports the notion of a SM_Key. The SM_Key provides a additional level of authentication authority to control which subnet manager is allowed to be the master subnet manager. This key system also provides another level of granularity in determining which subnet managers are trusted is establishing standby subnet managers, that can backup the master subnet manager for redundancy and handoff.

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The SAN network has a mechanism for partitioning the use of a shared I/O and inter-node network, providing devices that are private to nodes or shared between customer-specified nodes. A mechanism is provided in which to ignore messages from nodes which are not part of the partition. Computers or other nodes on these networks are only aware of the existence of devices they are allowed to access. This capability is partly provided by partition keys (P_Keys). The partition keys must match in the message and receiver for a packet or message between nodes to be accepted. If a packet or message is not accepted, is silently dropped. In other words, the target node acts like the target was never reached. In this fashion, a node does not become aware of nodes it should not access.

With this mechanism, however, an indication that a message was dropped is provided at some level because a mismatch of P_Keys indicates broken or malicious hardware or software is being used on the network that is sending incorrect messages either erroneously or maliciously. The present invention provides a method, apparatus, and computer implemented instructions for a capability to capture and report an unauthorized attempt to access a node in a network computing system. In these examples, the unauthorized attempt takes the form of a P_Key violation.

A mechanism is provided for capturing and reporting this unauthorized attempt using a counter, data collection, and an optional trap (interrupt). When a P_Key matching fails, the counter is incremented, the header information of the offending packet is saved, and

(optionally) a trap is sent to the manager of the partitioning of the entire network. The manager can either poll for counters or saved header data, or respond to the trap (if provided). This mechanism informs the only entity on the network that must know all the entities present, the subnet manager, and which is the entity that is responsible for the partitioning settings, that something is wrong. This type of reporting mechanism and methodology is performed without requiring any cooperation from any of the other systems on the network. Such a mechanism and methodology is particularly important when open source operating systems, such as Linux, are used, since they could have been modified to do erroneous or malicious operations.

Turning next to **Figure 6**, a diagram illustrating a system area network (SAN) management model is depicted in accordance with a preferred embodiment of the present invention. In **Figure 6**, the management of subnet **600** and SAN components like host processor node **602**, end nodes **604-610**, switches **612-616**, and router **618** use two management services: subnet manager **620** and subnet administration **622**. These two components are located in host node **602** in this example. A host node is a node containing a host channel adapter and an end node is any node which is the source for or the target of packets on the network. Switches and routers are generally not end nodes, as they mostly pass packets through from one side to the other. However, switches and routers can be end nodes relative to subnet manager packets. Subnet manager packets are used to discover, initialize, configure, and maintain SAN components through the

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management agent **624** in end node **610**.   In this example,
end node **610** includes a controller **626**, controller **628**,
sensor **630**, light emitting diode (LED) **632**, and vital
product data (VPD) **634**.

SAN subnet management packets are used by subnet
manager to query and update subnet management data.
Control of some aspects of the subnet management are
through a user management console **636** in a host processor
node **602**.   Additionally, higher level management
applications **638** may be used in place of or in
conjunction with management console **636** to manage and
configure subnet **600**.   One of the controls provided
through management console **636** is the partitioning of
nodes into different partitions in which access to
partitions are controlled through authorization data.

To detect an invalid attempt by a node to access
another node, the SAN architecture, in these examples,
uses authorization information in the form of a set of
locks and keys called P_Keys.   When the subnet manager
configures a node, the subnet manager can set up a set of
P_Keys in the node to tell the node which partitions to
which the node belongs.   In this manner, the node is able
to identify the partitions the node can access and which
partitions can have access to the node.

To implement this invention, the channel adapter
(CA) implements the above P_Key Violation detection
methodology along with a P_KeyViolations counter.   In one
embodiment, this is a 16 bit counter.   The counter is set
to a value of 0 at power-on-reset time. Each time the
above detection methodology detects a violation, the
counter is incremented by one.   If the counter reaches

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the maximum value (all binary 1's) then the counter does not change until reset by the SM. This invention adds the P_KeyViolations counter to the CA's internal Port Information table (PortInfo), which can be read out and reset by the SM by using SMPs to access the P_KeyViolations component of the PortInfo.

The mechanism of the present invention in these examples are implemented in a channel adapter, such as host channel adapter **300** in **Figure 3**. The mechanism is equally applicable to target channel adapters.

Turning next to **Figure 7**, a flowchart of a process used for setting partitions in a node is depicted in accordance with a preferred embodiment of the present invention. The process illustrated in **Figure 7** may be implemented in a subnet manager, such as subnet manager **620** in **Figure 6** to set partitions in a subnet.

The process begins by setting P_Keys in the node (step **700**). The SAN is queried and configured by means of subnet management packets (SMPs). The subnet manager using subnet management packets obtains information about the SAN components. Further, through the use of subnet management packets, the subnet manager can set configuration values in those components to configure the subnet to have the desire characteristics. Included in the management is the partitioning of the subnet into parts, giving or denying a node access to another node. In addition, a node may be a limited or full member of a partition. Partitions can be disjoint or overlapping. Next, other configuration processes are performed to configure the node (step **702**) with the process terminating thereafter.

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Turning next to **Figure 8**, a flowchart of a process used for detecting and reporting unauthorized attempts to access a node is depicted in accordance with a preferred embodiment of the present invention. The process illustrated in **Figure 8** may be implemented in a node within a subnet to detect and report unauthorized attempts to access the node.

The process begins by receiving a packet (step **800**). the P_Key is extracted from the packet (step **802**). Then, the extracted P_Key is compared with the P_Key in the node (step **804**). A determination is made as to whether there is a match (step **806**). In one embodiment, the P_Key is 16 bits in length with the low order 15 bits being the key and the high order bit being a membership bit. The membership bit is a 0 if the membership is limited and a 1 if the membership is full membership. In this embodiment, if the low order 15 bits are set to all zeroes, then the P_Key is considered the invalid P_Key. There is a P_Key violation on any of the following conditions: (1) the P_Key in the received network message or the P_Key in the node is the invalid P_Key; (2) the low-order 15 bits of the P_Key in the received message does not match the low-order 15 bits of the node's P_Key; and (3) the high-order bit (membership type) of the P_Key in the received message and in the node are 0 (limited membership). The location of the P_Key in the message is defined by the SAN architecture.

If there is not a match, the header information is saved from the packet (step **808**) and the packet is dropped without response (step **810**). In addition to reporting the violation, the node saves and makes

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available to the subnet manager information such as: (1)
the source local identifier, which is the local
identifier address of the node that is making the invalid
address; (2) the destination local identifier, which is
the local identifier address of the node which is trying
to be accessed; (3) the P_Key value that was used in the
attempt; (4) the service level of the request; and (5)
the queue pair numbers involved.

     If the global route header is present, the global
identifier address of the source also may be saved. This
information is provided to the subnet manager. With this
information, the subnet manager may isolate the node or
nodes that are making invalid access to a partition.
Depending on the particular implementation, additional or
different information may be saved from the packet.

     A determination is made as to whether the counter is
at the maximum value (step **812**). This counter initially
is set equal to zero at power on time or by subnet
management packets. If the counter is not at the maximum
value, then the counter is incremented (step **814**).
Otherwise, the increment step is bypassed. Next, a
violation is reported to the subnet manager or in
response to a polling of the node (step **816**) with the
process terminating thereafter. In the depicted
examples, the reporting of the violation may occur in
response to polling of the node by a subnet manager.
Alternatively, the information may be sent to the subnet
manager in response to other types of events.

     Further, these violations may be reported through
several types of subnet management packets called traps,
which allow a node to asynchronously report a condition

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to the subnet manager. By using this trap mechanism, the
node may optionally report the P_Key violation to the
subnet manager for immediate action rather than waiting
for subnet manager to poll for the value of the counter.

With reference again to step **806**, if there is a
match, the packet is processed (step **818**). Then, a
response is returned to the source of the packet (step
820) with the process terminating thereafter.

Although the depicted examples in **Figure 8**
illustrate violations in the form of a mismatch in
P_Keys, the mechanism of the present invention may be
applied to access attempts using other types of authority
violations such as M_Key violations. Further, a single
counter is used for all unauthorized attempts in these
examples. Alternatively, a counter may be used for each
source of an unauthorized attempt so that counts of
unauthorized attempts may be identified for each source.

Therefore, the present invention provides a method,
apparatus, and computer implemented instructions for
detecting and reporting unauthorized attempts to access a
node. This reporting mechanism only reports attempts to
a selected entity, such as a subnet manager. The source
of the unauthorized attempt has no knowledge that the
node is even present because the packet is dropped or
discarded without a reply. Information from the packet
as well as a number of unauthorized attempts may be
reported to the subnet manager. This information may be
analyzed on a single-event basis or over a number of such
events over time to determine the nature of the attempts.
For example, these attempts may be attempts at security
breaches. Alternatively, the attempts may result from

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software problems or hardware failures.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

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